ATTACHMENT A

IN THE UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF PENNSYLVANIA

TROY PONTON,	Civil Action No. 02-138J
Plaintiff,	Judge Kim R. Gibson
JOAN BAILEY; T. DOUGHERTY;) WHITESAL; JOHN McCULLOUGH,	Magistrate Judge Keith A. Pesto
Defendants.)	Electronically Filed.

DECLARATION OF TRACY POLLOCK

- I, Tracy Pollock, verify that the foregoing information is true and correct to the best of my personal knowledge or information and belief.
- 1. I am presently employed by the Commonwealth of Pennsylvania, Department of Corrections, as an Administrative Officer II in the Grievance Review Office. As part of my duties, I am responsible for reviewing grievance records of appeals. The Secretary's Office of Inmate Grievances and Appeals conducts the final review of inmate grievances, in accord with Administrative Directive 804. This directive is part of the Inmate Handbook, which is provided to each inmate and serves as the rules and regulations of the institution.
- 2. A grievance must be appealed through all administrative levels of appeal at an inmate's institution and the Department of Corrections. DC-ADM 804 outlines the necessary steps. Once an inmate has complied with all of the procedural requirements and a grievance has received Initial Review, an inmate can file an Appeal from Initial Review to the Superintendent. Once an inmate has received a disposition of an appeal from Initial Review, an inmate may appeal to the Secretary's Office of Inmate Grievances and Appeals and seek a Final Review.

- 4. Inmate Kerwin did, however, file two grievances on July 21, 2003: grievance number 57372; and grievance number 57378.
- 5. However, inmate Kerwin failed to exhaust administrative remedies with regard to either of these grievances.

This statement and verification is made subject to the penalties of 18 Pa.C.S.A. §4904 relating to sworn falsification to authorities, which provides that if I make knowingly false statements, I may be subjected to criminal penalties.

Tracy Follock
Tracy Pollock

Date: <u>3-2-06</u>

23, 2003.

Case 1:05-cv-00093-SJM Document 52-3 Filed 03/03/2006 Page 4 of 41

ATTACHMENT B

DC-804 Part 1

COMMONWEALTH OF PENNSYLVANIA **DEPARTMENT OF CORRECTIONS**

P.O. BOX 598 CAMP HILL, PA 17001-0598 FOR OFFICIAL USE ONLY GRIEVANCE NUMBER

OFFICIAL INMATE GRIEVANCE			
TO: FACILITY GRIEVANCE CO	ORDINATOR.	FACILITY:	DATE:
GRIEVANCE COL	PROINATOR LETTER	MA ALBION	7/18/03
FROM: (INMATE NAME & NUME	BERI .III. LI	SIGNATURE OF INMATE	<u>:</u>
RYAN KERWIN D	Z D A L SUITERINIE	DENI'S ROMAN ST	Muda
RYAN KERWIN D' WORKASSIGNMENT:	, ලබාව	HOUSING ASSIGNMEN	NT:
UNASSIGNED			
INSTRUCTIONS:			
1 Refer to the DC-ADM 804			
2. State your grievance in Bi	ock A in a brief and unde	rstandable manner.	
members you have contact	, you may nave taken to r	esolve this matter. Be st	ure to include the identity of staff
		Additional company to	
A. Provide a brief, clear state	ment of your grievance.	Additional paper may be	s used, maximum two pages.
a survey in when	wexmon an i	114/03. Dunce the	melle mal le emit te
got slit no porribison	Thomas on a sound	assome a bein	o most glo to tank.
neny firfull sleep	en and your low	nka hang masa	gety tran . I save
already Daller off	the tap lund to	vice when intuit	my my shoulder and
	aidles This tru	ور مدر فاستول الله وه	Porton and de de de
ance my may in	The David A leading	1-c of solutionary is	s extremely dangerous
to my future soffer	w and a app men	a richard or	a bottom bunk. Second
of all, I am a non-1	mother who it in	mently being fo	nced to include the
rosand Dand Drope	of my cellmat	e for egypt aid to	- titains from ai puille
-utionally walid as n	was law the Sun	My Court in 94 soll	was no on a dame.
- who mally wated and	weeks to by and the state of	- + Dat 10 1	A NI ADO CO PORTOR
113 8.Cx. 2475 C1993) gi an masong in	in announce association	t was the second
The same as a second	, affer man brund in	land & am Howeld	The Force was stated
ing, a second land I	moter from my	cellmates equilly	file a sivil action section
1 to 1 minus time (10 le	el and companie	tom domagle. B	le advised, this guerand
The second secon		- I am boxed	to filly built and in the
			•
event & proceed in 8:	iote (ourst instead	of rederal law	- elmonth runn til
B. List actions taken and staf	f you have contacted, be	ore submitting this griev	ance.
•			
Your grievance has been rece	eived and will be process	ed in accordance with Di	C-ADM 804.
_			
		•	
Signature of Facility Grievar	ice Coordinator		Date

DC-ADM 804, Inmate Grievance System Attachment C COMMONWEALTH OF PENNSYLVANIA DC-804 Part 3 **Department of Corrections** \$CI-Albion 07/21/03 DATE: Grievance Rejection Form SUBJECT: FOR OFFICIAL USE ONLY 57378 TO: Rvan Kerwin DZ-0246 J/B 5 GRIEVANCE NUMBER FROM: Мг. Ватг **Facility Grievance Coordinator** The attached grievance is being returned to you because you have failed to comply with the provision(s) of DC-ADM 804. Inmate Grievance System: Grievances related to the following issues shall be handled according to procedures specified in the policies listed and shall not be reviewed by the Facility Grievance Coordinator. DC-ADM 801 - Inmate Disciplinary and Restricted Housing Unit Procedures 2. b. DC-ADM 802 - Administrative Custody Procedures Other policies not applicable to DC-ADM 804. Block B must be completed, as per the Instruction #3 of the Official Inmate Grievance Form. 2. X _ The grievance does not indicate that you were personally affected by a Department or facility action or policy. Group grievances are prohibited. The gnevance was not signed and/or dated. 6. Grievances must be legible and presented in a courteous manner. The grievance exceeded the two (2) page limit. Description needs to be brief. 7. ____ Grievances based upon different events shall be presented separately. 8. ____ The grievance was not submitted within fifteen (15) working days after the events upon which claims are based. You are currently under grievance restriction. You are limited to one grievance each 10 working days. You filed a grievance on _____ Grievance involves matter(s) that occurred at another facility and should be directed by the inmate to the appropriate facility. The issue(s) presented on the attached grievance has been reviewed and addressed previously. Mr. Kerwin, you need to work with your Unit Manager and the Medical Department.

Mr. Showers cc: Ms. Overton DC-15

File (57378)

Case 1:05-cv-00093-SJM Document 52-3 Filed 03/03/2006 Page 7 of 41

ATTACHMENT C

Page 8 of 41

21003

DC=804 Part 1

DEPARTMENT OF CORRECTIONS

FOR OFFICIAL USE ONLY

P.O. 80X 598	GRIEVANCE NUMBER
OFFICIAL INMATE GRIEVANCE	ORIEVANCE NOMBER
TO: FACILITY GRIEVANCE COORDINATOR	DATE:
GRIEVANCE COORDINATOR 21 200 ALBION	7/20/03
FROM: (INMATE NAME & NUMBER) SUPERIMENSIONATURE OF INMATE:	
WORK ASSIGNMENT: HOUSING ASSIGNMENT:	in
WORK ASSIGNMENT: HOUSING ASSIGNMENT:	
UNIASSIGNED JB5	
 INSTRUCTIONS: Refer to the DC-ADM 804 for procedures on the inmate grievance system. State your grievance in Block A in a brief and understandable manner. List in Block B any actions you may have taken to resolve this matter. Be sure members you have contacted. 	
A. Provide a brief, clear statement of your grievance. Additional paper may be us	
on 7/20/03 I was forced to sign a contract agreeing to	· ·
event my photo I. B. door tag is lost or stolen when sto	THE LEASE THAT
wish to sign the contract a was threatened try the seach a	Officers with stated
that if I did not comply I would be placed in the Role.	עש ושיייטקע ויישא רוושי
any legal duty to sign this contract and this institution	y to wan funnishabital
+ - indict in thisaten to use bother isom to course me in	as mountain the contract
1 to the second	PODUCOCH MECONYD TR
I A A A A A A A A A A A A A A A A A A A	I COUNTY THE COLORDY STREET
the Conditional Threat Clause 530 of the Bernsylvania S	low of init tints.
the Conditional x Breat Clause 9 30 ch 200	to my this contract
so that it may be destroyed and sanction the secon	of reply stoppe the
their illegat misconduct.	
when we will the second of the	
B. List actions taken and staff you have contacted before cultimitting this delevane	
B. List actions taken and staff you have contacted, before submitting this grievance 以此人。是如此	e,
Your diayaga has been received and during	
Your glievance has been received and will be processed in accordance with DC-A	DM 804.
\ \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	k =
	07-21-03
Signature of Facility Grievance Coordinator	Date

Attachment B

DC-ADM 804; Inmate Grievance System

DC-804 Part 2

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF CORRECTIONS P.O. BOX 598 CAMP HILL, PA 17001

GRIEVANCE NO. ALB-57372

OFFICIAL INMATE GRIEVANCE **INITIAL REVIEW RESPONSE**

TO: (Inmate Name & DC No.) FACILITY HOUSING LOCATION GRIEVANCE DATE SCI-ALBION J/**A**-05 07/21/03 KERWIN, Ryan DZ-0246 The following is a summary of my findings regarding your grievance: In response to the issues raised on your grievance, I offer the following: I spoke to you in my office on 7/22/03. I asked you to provide the name of the staff members involved in the alleged incidence stated in your grievance. You stated that you would not provide the names of the staff because you did not want to get them into trouble even though your grievance states that you want the second shift sanctioned for their illegal misconduct. You stated that this was a preemptive action so you would not have to pay for your door card when it is lost at a later date. I then spoke to Officer Hicks who was on duty that night and he stated that he was one of two officers involved in the incident. Officer Hicks stated that you were told by Officer Kersey that if you refused to follow her direction to sign the door card receipt that you may be issued a misconduct, but at no time were you threatened with "the hole". Policy No. 6.5.3 ALB 0004 on Page 3 states All cells will be placarded with cards indicating the inmate names and numbers. The cards will be affixed to the inside of the cell door window on the left side, in conjunction with the bed assignment. The photo cards will be displayed at all times for identification purposes. The only items listed on the cards will be the inmate's name, institutional number, and inmate photograph. This card is to be transferred from cell to cell, or unit to unit by the inmate. Lost or stolen cards will be replaced for a \$5.00 fee. I then spoke to Sqt. Wertz, the Property Sqt. who informed me that the price for replacing a door card has been lowered to \$2.00, but the policy remains the same. I explained to you on 7/22/03 that the paper that the officers instructed you to sign was receipt for the door card not a contract as you had claimed. It is part of a permanent record and a state document, therefore, if will not be returned to you. Further, you were instructed to sign the receipt. You were never threatened with any physical harm. The officer's actions are supported by both policy and procedure as I have documented here. Therefore, I can see no reason for further action at this time. CC: Grievance Coordinator R.A. Showers, Unit Manager Inmate DC-15 Invote refused to sign-Met with him in My office at 10:15 on 07/24/03. Ba RESOLVED: ____ DATE: UNRESOLVED: ____ ____ DATE: ____ SIGNATURE OF GRIEVANCE OFFICER Print Name and Title of Grievance Officer R.A. Showers, Unit Manager

ATTACHMENT D

COMMONWEALTH OF PENNSYLVANIA
Department of Corrections
State Correctional Institution at Albion
(814) 756-5778
August 27, 2003

SUBJECT: ALLEGATIONS OF ABUSE

INVESTIGATION: SCIA-052-03 RE: Inmate Kerwin, DZ-0246

CONFIDENTIAL

TO:

William J. Wolfe

Superintendent

FROM:

Usillean McConnell

Tool Control Officer

On 08/06/03, Captain Weaver directed this writer to conduct an investigation into Inmate Kerwin's (DZ-0246) Allegations of Abuse (See Attachment #1). In Inmate Kerwin's 4-page complaint, he writes that he was sexually harassed by one of the Albion Bus Detail Officers during a strip search at SCI-Smithfield. He adds, "When I would begin to protest these orders, I was immediately scolded and threatened with a misconduct for Refusing to obey an order. This officer used repeated profanity toward me and told me to get use to this type of treatment, because there would be much more of it after I got to Albion". Inmate Kerwin makes several more claims against the Bus Detail Officers, then goes on to write "Since arriving at Albion, this type of treatment has progressed considerably", making reference to two (2) incidents, one allegedly took place outside the Education building and again on 07/23/03. Kerwin writes, "On 07/23/03, after breakfast, I was pulled over outside of the chow hall and patted down in an inappropriate manner. During the pat down I was verbally harassed by three (3) officers, one of which repeatedly instructed the other officers to "hook me up with a write-up" and to "just make-up a reason"". Kerwin goes on to address several issues concerning Mr. Showers. He claims that during the meeting, "Mr. Showers told me that he was a former employee of SCI-Smithfield and knows Ms. Hannal and Ms. Zimmerman, and that he (Mr. Showers) already heard about the lawsuit I was preparing to file against SCI-Smithfield. He also stated that I better reconsider filing, or I would regret it and his officers have no problem dealing with troublemakers".

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 2

Inmate Kerwin goes on to write about an incident that allegedly occurred at SCI-Smithfield, claiming that staff at SCI-Smithfield intercepted an Affidavit Kerwin signed for Inmate Justin Corliss. In closing his 4-page complaint, Kerwin writes that the second he finished writing this complaint, he was again singled out and punished by the Block Officer, when he was sent out to mow the grass while every other inmate in the jail was allowed to go to yard and block out.

INVESTIGATIVE ACTIONS:

Interviews of the following inmates: Inmate Kerwin (DZ-0246), Inmate Valentine (EJ-0584), and Inmate Clark (DD-5461).

Review of the following documents: Inmate Kerwin's DC-14, and Inmate Corliss' (DU-3428) Separations.

Interviews of the following staff members: Sergeant Rhoades, Officer Sabol, Officer Spurlock, and Mr. Rod Showers (Unit Manager).

08/06/03 Interview of Inmate Kerwin (DZ-0246)

I informed Inmate Kerwin that I was conducting an investigation into his Allegations of Abuse. I advised Kerwin that I expect his answers to my questions be truthful and accurate. The following are the questions asked:

- Q Which officer conducted the strip search at Smithfield?
- A I honestly don't remember. It was one of the staff on the bus.
- Q Give me a physical description of this officer.
- A Heavy set, dirty blonde hair, and a blondish mustache.
- Q Did this officer have a nametag on his uniform?
- A I believe so. I didn't pay any attention to it at the time.
- Q You allege you were "sexually harassed by this officer", correct?
- A I don't think it was sexual in nature, but it was harassment.
- Q You claim this officer had you lift your penis and testicles six (6) times, explain?
- A He was being sarcastic, he was just harassing me.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 3

- Q You also claim that this officer had you spread your buttocks four (4) times, explain?
- A He had me do it over and over, wider. I think I did it good enough the first time. He even looked up my nose. Now tell me that's not harassment.

NOTE: It was explained to Kerwin that the officer is <u>required</u> to look into the inmate's nostrils while conducting a strip search of inmates.

- Q You also claim the officer "Scolded and threatened you with a misconduct for Refusing to obey an order", explain?
- A I tried to protest his orders. He didn't threaten me with physical harm; he just told me that if I didn't cooperate, I'd get a misconduct.
- Q Did you follow the orders of the officer conducting the strip search?
- A I felt what I did was adequate.
- Q You claim this officer used "repeated profanity" toward you, what did the officer say?
- A I don't know, I can't recall what he said.
- Q You make the allegation that this officer used "repeated profanity" toward you and you tell me that you cannot remember what he said?
- A I just don't remember.
- Q Which officer allegedly told you, "Don't say anything, I already know your number"?
- A I have no idea.
- Q Give me his physical description.
- A I can't describe him to you.
- Q Do you take this comment as a threat?
- A I know what the officers working for the DOC can do. They can make-up misconduct charges, beat you, or have another inmate beat you up; so I don't take any comment lightly.
- Q You also write that when you arrived at SCI-Houtzdale, one of the officers allegedly asked you if you were headed for Albion, and when you said yes, the bus officers began to mumble with one another and laugh, explain?
- A It may have been Mercer where this happened. It was odd that I was singled out.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 4

- Q Which officer allegedly made this comment?
- A I didn't see who said it.
- Q Where were the other officers at when this was said?
- A They were all in the front of the bus. I don't know what they said to each other, I couldn't hear them.
- Q You claim that these officers mumbled something and laughed. How do you know they were talking about you?
- A I know they were, I just don't know what they said, I couldn't hear them.
- Q You further allege that on your first full day at SCI-Albion, as you approached the Education building door, two (2) officers stopped you and stated, "They've already heard about me and that I looked like a troublemaker, among other things". Who were these officers?
- A I don't know their names, the inmate who was with me said it was the same officer that did the strip search on me at Smithfield.
- Q Did you recognize this officer?
- A No, not really.
- Q Did you look at the officer's nametags?
- A No.
- Q Who was the inmate with you at this time?
- A I don't want to give his name; I don't want to get him in trouble.

If you have a witness to any of your allegations, I suggest you give me their names. They will not get into any trouble as long as they are truthful.

NOTE: Kerwin was very hesitant to give the name of his witness.

- Q Are you going to offer a witness to the alleged comment made to you by two (2) officers outside the Education building?
- A Clark, he came up on the bus with me.
- Q You claim these two (2) officers said you look like a troublemaker "among other things", what else did the officers allegedly say to you?
- A Specifically, I don't remember.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 5

- Q You go on to write that you were pulled over outside of the chow hall and patted down in an inappropriate manner and verbally harassed by three (3) officers, explain?
- A I was walking out of the chow hall when I was ordered to put my hands on the wall for a pat search.
- Q You write that you were patted down in an inappropriate manner, explain?
- A The officers were rough with me, pushing me and shoving me against the wall, then one officer said to "Hook me up with a misconduct".
- Q Which officer said that?
- A I don't know.
- Q Did you look at the officers nametags?
- A No.
- Q Can you describe the officers?
- A No.
- Q Do you have any witnesses to this incident?
- A No.
- Q Did you receive a misconduct on this day?
- A No, I haven't received any misconducts.
- Q What other harassment are you claiming to have endured at Albion?
- A Just from the Unit Manager, Mr. Showers. I went to his office to discuss my grievances. That's when he told me that he used to work at Smithfield and knew about my lawsuit against them. Then he told me I better reconsider filing, or I would regret it because his officers have no problems dealing with troublemakers.
- Q Did Mr. Showers tell you who told him about your lawsuit?
- A No, he didn't say.
- Q At the conclusion of your 4-page complaint you write, "The second I finished writing this complaint, I was again singled out and punished by the block officers", explain this.
- A I was told to go outside and mow the grass.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 6

- Q You're in the general labor pool and will be assigned various jobs like mowing the grass, picking up trash, or assisting in cleaning the unit. All GLP's are subject to these details.
- A It just seems strange to me that I would be picked.
- Q Do you have anything else to add to this investigation?

A -

08/06/03 Interview of Inmate Clark, DD-5461

I informed inmate Clark that I was conducting an investigation into Inmate Kerwin's Allegation of Abuse by SCI-Albion staff. I advised inmate Clark that I expect his answers to my questions be truthful and accurate. The following are the questions asked:

- Q Did you arrive at SCI-Albion on the bus on 07/15/03?
- A Yes.
- Q Did you witness any of the bus officers harass any of the inmates?
- A No.
- Q What were the officers behavior towards the inmates on the bus?
- A There wasn't any problems. The officers seemed pretty nice.
- Q Inmate Kerwin reports that on 07/16/03, you observed two (2) officers verbally harass him outside of the Education building, did you?
- A No, the only thing the officer said to him was that he needed to tuck his shirt in. They weren't messing with him.
- Q Do you have anything else to add at this time?
- A Kerwin, the white boy, has an attitude. Nobody was messing with him.

08/06/03 Interview of Sergeant Rhoades

I informed Sgt. Rhoades that I was conducting an investigation into inmate Kerwin's, DZ-0246, Allegations of Abuse by an unidentified member of the Albion Bus Transport Team. I allowed Sgt. Rhoades to read inmate Kerwin's complaint. The following are the questions asked:

- Q Were you assigned to the transport bus on 07/15/03?
- A Yes

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skilfs and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 7

- Q Do you recall Inmate Kerwin, DZ-0246?
- A No.
- Q Did you conduct any strip searches at Smithfield on 07/15/03?
- A No, the officers conduct the strip searches and I put the cuffs and shackles on the inmates after they're searched.
- Q Did you witness the strip search of inmate Kerwin?
- A No.
- Q Who were the officers assigned with you on 07/15/03?
- A It would have been Officer Sabol and Officer Spurlock, the regular bus officers.
- Q Which officer would have completed the strip searches at Smithfield on 07/15/03?
- A Both Sabol and Spurlock, which is normal procedures.
- Q While at Smithfield, did you hear Officer Spurlock tell Kerwin or any other inmate, "Don't say anything, I already know your number"?
- A No, I process all the inmates prior to them getting on the bus. I call out the institution they're going to, and as the inmate exits the room, I check the photo ID, name, and DC# of each inmate. Even if it's an inmate I know, I still go through the same procedures. I'll never tell an inmate, "I know your number", I always verify it.
- Q Did you, Officer Spurlock, or Officer Sabol make any comment to inmate Kerwin while on the bus?
- A No.
- Q Since 07/15/03, have you had any contact with inmate Kerwin inside the institution?
- A Like I said, I don't recall him and even after looking at his picture, I don't recall dealing with him.
- Q Do you have anything else to add to this investigation?
- A No.

08/07/03 Interview of Officer Sabol

I informed Officer Sabol that I was conducting an investigation into inmate Kerwin's, DZ-0246, Allegations of Abuse by an unidentified member of the Albion Bus Transport Team. I allowed Officer Sabol to read inmate Kerwin's complaint. The following are the questions asked:

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 8

- Q You were assigned to the Bus Transport Team on 07/15/03, correct?
- A Yes.
- Q Did you conduct the strip search on Kerwin at Smithfield?
- A Lieutenant, there's a 50/50 chance I did, but I don't recall. Officer Spurlock and I do the strip searches. He's in one room, and I'm in another.
- Q Did you say or hear Officer Spurlock or Sgt. Rhoades make any comment directed to Kerwin on the bus?
- A No.
- Q Since 07/15/03, have you had any contact with Inmate Kerwin inside the institution?
- A I don't believe so.
- Q Do you have anything else to add to this investigation?
- A No.

08/13/03 Interview of Mr. Rod Showers, Unit Manager

I informed Mr. Showers that I was conducting an investigation into inmate Kerwin's, DZ-0246, Allegations of Abuse. I allowed Mr. Showers to read the complaint written by inmate Kerwin. The following are the questions asked:

- Q Did you call Inmate Kerwin to your office on 07/22/03?
- A Yes, I called him down to review his grievances.
- Q Did you tell Kerwin that you had worked at SCI-Smithfield?
- A No, I was explaining to inmate Kerwin that he needed to follow procedures before filing grievances. He needed to try to resolve the issues with staff (i.e. talking with the Unit Manager or his Counselor). Kerwin said that his Unit Manager (Ms. Hannal) and Counselor (Ms. Zimmerman) at SCI-Smithfield didn't want to be bothered with grievances. I told him that I knew both of the individuals and found it hard to believe they would say anything like that.
- Q Did you tell Kerwin that you heard about his lawsuit against Smithfield?
- A No, that's a lie. I don't know anything about a lawsuit.
- Q Did you tell Kerwin "He better reconsider filing the lawsuit or he would regret it" and "My officers have no problem dealing with troublemakers".
- A No, that too is a lie.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 9

- Q Do you have anything else to add at this time?
- A No.

In reviewing Inmate Kerwin's complaint, he makes reference to an Inmate Justin Corliss, for whom Kerwin claims to have signed an Affidavit for. In reviewing inmate Corliss' Separation History, Kerwin appears to be following Corliss' behavior (See Attachment #2).

l elected to interview one of the other inmates who were on the bus the day Inmate Kerwin (DZ-0246) was, 07/15/03. On 08/07/03, I interviewed Inmate Valentine, EJ-0584.

08/07/03 Interview of Inmate Valentine, EJ-0584

I informed Inmate Valentine that I was conducting an investigation into allegations of staff harassment of an inmate during the bus transport on 07/15/03. I advised Valentine that I expect his answers to my questions be truthful and accurate.

- Q You arrived at Albion on the bus on 07/15/03, correct?
- A Yes.
- Q During the processing at SCI-Smithfield did you witness any inmate being harassed by staff?
- A No sir.
- Q During the bus trip to Albion did you witness any staff harass any of the inmates on the bus?
- A No, they were alright dudes.
- Q Do you have anything else to add to this investigation?
- A No, like I said, the officers didn't give anyone problems.

08/25/03 Interview of Officer Spurlock

I advised Officer Spurlock that I was conducting an investigation into Inmate Kerwin's, DZ-0246, Allegations of Abuse by an unidentified member of the Albion Bus Transport Team. The following are the questions asked:

- Q Do you recall conducting a strip search of Inmate Kerwin, DZ-0246, on 07/15/03, at SCI-Smithfield?
- A Yes, I do. Captain Weaver asked me about that several weeks ago, before I went on vacation.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

- Q Inmate Kerwin reports that you ordered him to lift his penis and testicles six (6) successive times, and then ordered him to spread his buttocks four (4) times. Is this correct?
- A No, I was conducting the strip search on him and when I told him to lift his penis, he did it real quick, same with his testicles. It was obvious that he was trying to set the tempo of the search. I told him that he is to follow my orders. I only had him lift his penis and testicles two (2) times. The first time was at his speed, and the second time he followed my orders.
- Q Did you use any profanity toward Inmate Kerwin?
- A No, I did not.
- Q Did you tell Kerwin to get use to this type of treatment, because there would be much more of it after he got to Albion?
- A No.
- Q Did you or any of the other officers on the bus direct any comments to Kerwin during the bus trip?
- A No.
- Q Since 07/15/03, have you had any contact with Inmate Kerwin inside the institution?
- A To my knowledge, no.
- Q Do you have anything else to add to this investigation?
- A No.

NOTE: The delay in interviewing Officer Spurlock is due to him being on two (2) weeks leave, and myself being on one (1) week leave.

SUMMARY:

Inmate Kerwin, DZ-0246, writes a 4-page complaint addressing numerous Allegations of Abuse by SCI-Albion staff. He reports that on 07/15/03, he was Sexually Harassed during a strip search at SCI-Smithfield by one of the officers assigned to the Albion Bus Detail. Kerwin could not offer the name of the officer. However, he did give a physical description. Kerwin reports that the officer was heavy set with dirty blonde hair, and a mustache. This description does not match any of the officers assigned to Albion's Bus Detail. Kerwin writes that the officer ordered him to lift his penis and testicles six (6) successive times, and spread his buttocks four (4) times.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 11

This investigation found that the strip search was conducted by Officer Spurlock, who reports that during the first attempt to strip search Kerwin, he (Kerwin) tried to set the tempo of the search by quickly lifting his penis and testicles. Officer Spurlock then advised Kerwin to follow his orders and the strip search was completed on the second attempt. Inmate Kerwin writes that this officer also used "repeated profanities" toward him. However, during my interview with Kerwin, he reports that he "cannot remember" what profanities the officer used. Officer Spurlock denies using any profanity toward Kerwin. Kerwin goes on to write that on his first full day at Albion, he was approached by two (2) officers outside of the Education building, and told "They've already heard about me, and that I looked like a troublemaker". Again, inmate Kerwin could not identify the officers by name.

Kerwin reluctantly offered Inmate Clark, DD-5461, as a witness to this incident. When interviewed, Inmate Clark did not support inmate Kerwin's version. Inmate Kerwin goes on to allege that he was patted down in an inappropriate manner after leaving the chow hall after breakfast. Again, Kerwin could not identify the officers, and offered no witnesses.

Inmate Kerwin continues by claiming that Mr. Showers called him to his office on 07/22/03, to discuss his grievances. Kerwin reports that, "Mr. Showers told him that he already heard about his lawsuit. He (Kerwin) was preparing to file against SCI-Smithfield and stated that he better reconsider filing, or he would regret it, and my Officers have no problem dealing with troublemakers". Mr. Showers denies making this comment to Kerwin. Inmate Kerwin ends his complaint by writing that the second he finished writing this complaint, he was again singled out and punished by staff because he was sent outside to mow the grass.

This writer also interviewed Inmate Valentine, EJ-0584, who was on the bus trip with Kersin on 07/15/03. Valentine reports that he did not witness any officer harass any inmate on the bus.

Sergeant Rhoades, Officer Sabol, and Officer Spurlock deny harassing Inmate Kerwin at any time.

NOTE: In his complaint, Inmate Kerwin makes reference to an Inmate Justin Corliss, DU-3428. In reviewing Corliss' separations, it appears that Inmate Kerwin is following in his footsteps.

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

Page 12

FINDINGS:

Inmate Kerwin provided no evidence or witnesses to support his Allegations of Abuse by Staff. This investigation found that it is more likely than not that Inmate Kerwin fabricated the allegations for personal reasons. This writer issued Kerwin a misconduct for "Lying to an employee".

Attached is a copy of Inmate Kerwin's DC-14, that indicates his poor attitude toward staff.

WM:tmf

Attachments:

#1 - Inmate Kerwin's Complaint.

#2 - Inmate Corliss' (DU-3428) Separation Sheet.

#3 - Inmate Kerwin's DC-14.

CC:

File

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"

ATTACHMENT E

		· · · · · · · · · · · · · · · · · · ·	·						
FORM DC-14 Rev 3/00	11 PART 1				OF PENNSYL		A 49	E070	
a¥ MISCO	NDUCT REPOR			ADM 8	F CORRECTION BO1 INFORMAL	JNO _ RESOLUT	_	3013	
DC Numbe	ir I,	Name	Institu	tion	Incident Time 2		Incident Date	Date of I	
DZ 0246 Quarters	KERWID Place of India	lent 1	SI-NI		1800		8105103	8/26/0	13
DA 162		Visit 9			VIEW KOOM	== 70.U= 0.K	<u> </u>		
		THER INMATES C			T	ES (CHECK I			
DC Numbe	r	Name		W	DC Number		Name		I W
						ļ			
						7			-
MISCONDU	CT CHARGE OR C	THER ACTION							
BCLASS	I CHARG	ES #42-L	VIDG TO	AN	EMPLOYEE				
			T- [
		OD THE AB							
		0 DZ 0246							
ID His G	mplaint Ke	eurisu cirus	+ TOAT.	伊人	<u>ds sexuall</u>	1 HARRASS	ED By AM	EMBER C	大压
ALBION PU	s Transport	TEAM During 1	ns Strip	SEA!	ech at SCI.	Smith fiet	D. KERWID	ALSO WE	itec
That MR	Showers m	DDE THE CO	NWERT,	to hi	M TROT HE	- (Showers)" ALREAD	1 HEARD	ABout
		preparing To							
		& I hads							Aling
		" This writ							
Support !	KERWIUS VER	SOO I ALSO	INAGIN	EVEO S	THE OFFICE	s raidn	EN OTHER	<u> Sus Trans</u>	iport.
		ir Spirlock, c							<u> </u>
		ALLY WALLETS.			i.	-			
		5 KERWIN. TV	JE IDVI	Estig	Attoo (SCI-A	<u>-052.03)</u>	Congrupe 2		
MORE LI			E KERW	NO F	all certainspa	IE MITED	attoos, (C	astruites	
IMMEDIATE	ACTION TAKEN	AND REASON					 -		
				orn	nal hear	ing du	e to s	erious	6/1
PRE	HEARING CONF		-						
☐ YES	TIME	DATE	┥				In	out k	-50
NO		27.1.2			FOR	MS GIVEN TO	INMATE ,		
REI	PORTING STAFF MI				OR WITNESSES A	VED BY	DATE AND TIM	INMATE'S VEI	
11 1.3.0.00	SIGNATURE AND TI	MCCODPELL	RANKING C	.O. ON I	DUTY SIGNATU	RE AND TITLE	DATE	TIME 24 HOU	JR BASE
YOUR HE	ARING MAY BE SC		AFTER	T	ru,	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Signature of Perso	パラスCon Serving Not	tice
-	DATE	TIME		1	SCONDUCT CATEG	URY	e lon		
	8-58-03	0800			CLASS 1 D CLA	ASS 2	0 9 00	14	
say will be used hearing committed	against you both at the	e allegation on the date ne misconduct hearing a your silence as evidenc uct, any pre-release stat	and time indicand in a court e against you.	cated or a of law, if If you in	as soon thereafter as this matter is referred dicate that you wish to	I for criminal pro	secution. If you cho	ose to remain s	silent, the
WHITE DC	15 VELLOW	Inmate DINK				IBOD Descri	tu Cuporintondont	Cocility None	

Costi	out From 1	DC-141 A 495	879						
FORM DC Rev 3/00	-141 PART 1	COMM	ONWEAL		OF PENNSYL		A 40	5898 w	٠
MISC	ONDUCT REPO		DC-A			UNS L RESOLUT		303 6 - «.	-
DC Num	ber ,	Name	Institution SCT-AUS	on	Incident Time 2	24 Hr. Base	Incident Date 8/5/03	Date of Rep 8/26/03	oort
Quarte	rs Place of Inc	dident		1	RVIEW Rom				
		OTHER INMATES OF					I OR W)		
DC Num	ber	Name	1	w	DC Number		Name	1	w
				<u> </u>					
				 		 			-
MISCONE	DUCT CHARGE OF	OTHER ACTION		1		<u> </u>			
1		ES - #42 - Lyin	- To A.	E.W.	daier				
<u> </u>	103 I UNIO	EZ - 12 HIN	6 10 HM	CIT	reder_				
STAFF MI	EMBER'S VERSIO	N OF HA	BUSINE	\pm	ALD DRICE	By SCT-	ALBIO STAF	<u>r</u>	
		<u> </u>	40031 10	· · · ·	14000		11-020 011-1		
The	DELA TH	This Report i	S DUE	T6	Bullictice	LEAVE S	CHEDULES	t INE	
	ICLVED STAFF				1				
		<u> </u>		_ _					
			<u> </u>						
IMMEDIA	TE ACTION TAKEN	AND REASON	·						
			1						
	RE-HEARING COI	YES							 _
☐ YES	TIME	DATE							
□ NO					FOR	MS GIVEN TO	DINMATE	- <u></u>	
	REPORTING STAFF	MEMBER			OR WITNESSES A			<u>INMATE'S VERSI</u> E INMATE GIVEN C	
Llowa	SIGNATURE AND	MCCountel F	RANKING C.C). ON [RE AND TITL	DATE SUCCES	TIME 24 HOUR E	3ASE
YOUR		SCHEDULED ANY TIME	AFTER	MIS	CONDUCT CATE	-+1-	Signature of Perso	n Serving Notice	,
	DATE SUD US	1 SW		<u> </u>	CLASS 1 CL		1.EnG		
		<u> </u>		ICE TO	O INMATE	X			<u> </u>
say will be u	sed against you both at	the allegation on the date a t the misconduct hearing ar	nd in a court of	f law, if	this matter is referre	d for criminal p	rosecution. If you cho	ose to remain silen	nt, the
		se your silence as evidence nduct, any pre-release statu				to remain silent,	you will be asked no	further questions.	lf you

WHITE - DC-15

YELLOW — Inmate PINK — Reporting Staff Member GOLDENROD — Deputy Superintendent Facility Management

Cas	e 1:05-cv-00093	-SJM Documen	l∕52-3 - √File d	1 03/03/2006 -	— Page 26 c	1 -41	
	AOTAVIOS	CHANGE EXAMINER/COOP	SIGNATURE OF H	i	TISURX		OCT
		_XXIIII	NI	-			\
gned. Signature	ir ad taum must be si	on bedrappende in bne brief	Hearing Report	OMMITTEE	S EXAMINERICO S EXAMINERICO		NAME(S) OF
	်မျှင	luest must contain spe	and that this red	y be submitted or the review.	reasons for		
₩.		of a 10t teauber a syab	21 nintiw tent bee	s given. e has been advi	record was The inmat	ON [S YES
L ENDICES	64 332	ion reported as part of		.6	the inmate	ON 🗆	SZ YES
	ot be	end fully explaine	срагде раче рееп	will happen.		ON 🗆	Sąy 🗖
М	USA NAMINI	been told the reason t		te has heard the	The inmat	ON 🗆	SZY D
	٤٥٠	pose De	. /			-um\ad	THE MARK
	my tell Rester	and meast treated to all and the colors of the or the colors of the colo	h#12511129	triust the	BT TON DIG	34, 22AS	NA Orives
7.000 100	Fromth	county oscent med med trocked of some of the super	35) MOUNTERS	. Malham prox	(e) the a	XU3jUAT	N 37.41
(D) PH (S)	and from The	sof mast Treated	IL mg 341 =	of ships	of CASUATION	计长	SCITH THE
KANN NOW MAKE	on the Bus. E	excuelly HARASS althous Armin is absort Team For absort Team For	2000 W 400	IT ALL CAM THE	y should a	DOG C	TT MANY
T164FD	14 TT 19168.	with our course	e mast lust	TRANSPORT	IN ALBION	THAT N	S SILVERY
uramans out	134 WH OS	MOER INTHON MOER INTROOM SEXUALLY HARMAN MERCIN AND AFTER MERCIN AND AFTER	5 34U QL	20mplano) A Tim	Bus All	ZKWIWY Z
1-40 W 3	HUS ON TO SHI	wash structures ason	KERRY DASK	Cosmiscu	$M \rightarrow I$		•
nimbi THA	Halles ()		U	•	· sng	J44 (KERNIN OL
	•	_		AT GESTATION	TRO THU !	1 mgm	> (2,, (,)
CVPYO	noson (toes	D SOW SMAN ?	BAMIN Child	SIZY STAWLA	1 321472	بالايلار	in Nº Co.
		is the no pro	D " 12 Shortler	H 11 738	371 Mman	H U	りかい一
triu,	dings malle	is HAVE NO Pro	sugge the on	10-14/04/ 3	מוא מנישה,	Sector	Hews (
~ (012 O	BISTIM PSIL	41 740	2 1,24-101 mc2		or Tush	te can	M30AM 1
TiU2CA)	stormoss as	SKWACLY HOURYSC SAMPLY OF SAMPLY OF	swith aw Tr	ALL WH	about state	48120	1 344 Ja
A - A		sexually Hosps	4-7		שיי ערו. עייוכ	-	(
		is the or The					
	travity was	is the or mi	o hand) H		ng long s		37 min
			NPOSED	D SANCTIONS	VERDICT, AN	TDAT TO	FINDINGS
		NO	HEARING ACT		-	2h#	СНАКСЕЯ
	Not Guilty		TO A CHAIR AND	□ Other	Not Guilty		DIEA
1001	yiling	אבו חוכו		ald oN 🖸	Guilty		3TAMVI
No. from Part 1	Hearing Time	Hearing Date 5 3	405	· = =	Limas		77.2070
	COUT DRIVESH		PRTMENT OF COP		изметокт изме	ו חבאלוו/	DISCIPLINAR
			NWEALTH OF P			s the9 Manau v	Rev. 6-84

Part 2B

DC-141

DC-141 PART 2A COMMONWEALTH	OF PENNSYLVANIA
WARE DECLEOT FOR	F CORRECTIONS
DC Number Name	Institution Date Number as on Part I
DZ 0246 KERWIN , RYAN	SCI-ALBOD 812613 A-495879
You have been charged with a misconduct. You may request completing the section(s) below.	st assistance and/or witnesses to appear at your hearing by
In order to have assistance or witnesses at your hearing, your housing officers no later than 9:00 a.m. the first working	ou must complete this form and present all copies to one of g day after you receive notice of the misconduct.
Assistance: I do not request assistance I request assistance by (The person requested must be willing to assist	t you)
Witnesses: You may request witnesses in accord with DC-A importance of the testimony the witness will give	
If Inmate 1. Name of Witness: No. Quarters	DO NOT WRITE IN THIS SECTION For Use by Hearing Examiner
Why is this person's testimony relevant and important? THE WITNESS LT MCCONNELL CLAIMS	Witness permitted? If not, why not?
DED NOT SUFFICET MY VERSION OF ANY RELEVANT EVENTS	Ser Below
If Inmate 2. Name of Witness: No. Quarters	Witness permitted? If not, why not?
Why is this person's testimony relevant and important? THE WAMES AND PRISON H'S OF ALL OF THE INMATES TRANSFERRED TO S.C.I. MERCER.	NOT NEDED TO DETERMINE THE FACTS OF THIS INCIDENT
HOUTEDALE, AND ALBICN ON 7/15/03. (THEY WERE ON THE TRANSFORT BUS TO ALBIAN)	1 HUS OF THIS THE
If Inmate 3. Name of Witness: No. Quarters	Witness permitted? If not, why not?
Why is this person's testimony relevant and important? AN OFFICIAL STATEMENT CONFIRMING OR PENYING WHETHER OR NOT MR. SHOWERS WAS A FORMER EMPLOYEE OF 5.C.I. SOLTHFIELD	SEE BONE
Inmate's Signature	- A Ruff
This section to be completed by Housing Officer only Received completed form 1810 hours 5.26.03 Time Date	Hearing Examiner's Signature
Housing Officer's Signature	

COMMONWEALTH OF PENNSYLVANIA
Department of Corrections
State Correctional Institution at Albion
(814) 756-5778
February 17, 2005

SUBJECT: RETURN OF APPEAL

MISCONDUCT # 495879

TO:

Ryan Kerwin

DZ-0246

D/A

FROM:

Marilyh S. Brooks

Superintendent

I am returning your misconduct appeal without action. While I am lenient with time limits, your appeal is for a misconduct from August 2004 and well beyond appropriate time limits.

/dls

cc: Ms. Farver

CDC-15

File

[&]quot;Our mission is to protect the public by confining persons committed to our custody in safe, secure facilities, and to provide opportunities for inmates to acquire the skills and values necessary to become productive law-abiding citizens; while respecting the rights of crime victims"



COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF CORRECTIONS 1451 N. MARKET STREET ELIZABETHTOWN, PA 17022

OFFICE OF THE CHIEF HEARING EXAMINER

March 1, 2005

Ryan Kerwin, DZ-0246 SCI Albion

Re:

DC-ADM 801 - Final Review Misconduct No. A495879

Dear Mr. Kerwin:

This is in response to your appeal to final review of the above numbered misconduct.

In accordance with DC-ADM 801, VI, L, 3, I have reviewed the entire record of this misconduct; including the misconduct report, the hearing report and related documents, your appeal to the Program Review Committee and their response, your appeal to the Superintendent and his response. Under date of February 17, 2005, Superintendent Brooks dismissed your appeal of the PRC decision as untimely. Consequently, you have failed to complete appeal to the Superintendent as required pursuant to DC-ADM 801. Accordingly, your appeal to final review cannot be accepted and must be dismissed. Review of the records you enclosed reveals that this misconduct occurred eighteen (18) months ago, in August 2003. In addition, the misconduct report also included interviews of four (4) Correctional Officers.

> Robert Ste Tree Sincerely,

Robert S. Bitner

Chief Hearing Examiner

RSB:kll

Superintendent Brooks pc:

ATTACHMENT F

FORM DC-141	PART 1	COMI	MONWEAL	TH (OF PENNSYI	LVANIA			
Rev 3/00		DE	PARTMEN	TOF	CORRECTI	ONS	A 43	6723	
MISCONE	OUCT REPO	RT - OTHER	DC-A	DM 8		L RESOLU			
DC Number	KERE	Name	Institution of A	on	Incident Time : 743ス	24 Hr. Base	Incident Date 9-22-6-3	Date of F	Report ≥ 3
Quarters	Place of Inc	oldent Day Room	OFFICE	25	DESK				
		OTHER INMATES				SES (CHECK	(IORW)		
DC Number		Name	1	W	DC Number		Name		I W
	-			+ -	 	 			
				+					
						ــــــــــــــــــــــــــــــــــــــ			
		OTHER ACTION						,	<u> </u>
CEBSC 1 CO	ычек <u>"</u> 3с	BEEAKING IC	ESTRICTIO	<u>~ (</u>	PUARANTINE	OR INI	COPINAL PESO	(27/000	
STUTE TION	<2€€55	1 CHANGE #43	PRESENCE	E 14	AN INAC	THONIZE)	AREA		
STAFF MEMBI	ER'S VERSIO	N							
<u>C</u> _	THE A	BOUE DATE	E AND -	Tim	E THIS	<u>REPORT</u>	TINE OFFI	1572	
CHENED	CELL	62 70 600	INMA	TE	BICKEL	FA 894	7 IN HIS	CECCI	(62)
AT THIS	Time	ENDLATE KE	RUIN.	<u> </u>	0246 CA	ME CU	TOF HIS	coul	(62)
		RS DESK							
		ON CELL A							
KERWIN		46 IF HE							
·		S I WAS							
2· /		THIS CELL	•				~		
		DER OF 3			4			_	(710M
,		DE TIME PL	- ,						
1782) 5 17	11/6 - 21/	ie nine / C	1000	,,,,,	<u> </u>	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	<u>Critecity D</u>	700000	
									
IMMEDIATE A	CTION TAKEN	AND REASON						<i>(</i> :	
			1100.10	for a	manal	10.101	1. Fin C	Vera	
PRF-H	EARING CO	IFINEMENT	The state of the s		the si	20000	a Mar	711	
		YES		SI.	0 - 210	man	2 / lac	wu_	
☐ YES	TIME	DATE	- 4		I Dille	RON	new		
≥ NO			-		FOI	RMS GIVEN T	O INMATE		
	RTING STAFF	MEMBER			OR WITNESSES			INMATE'S VE	
3.1	SNATURE AND		RANKING G.			JRE AND TITE	E DATE	TIME 24 HOU	
1/10 /11	<u> </u>	OUEDIN ED	///	1	144 6	NE	9.22.03	2038	
YOUR HEAI	RING MAY BE S DATE	SCHEDULED ANY TIME	IE AFTER	MIS	CONDUCT CATE	SORY	Signature of Perso	n Serving Not ∕e≾	tice
9.	23-03	2038		Ja (CLASS 1 🗆 CL	ASS 2	Morche	Col	
You are scheduled	for a hearing on	the allegation on the dat			NMATE	possible You	may remain silent if v	ou wish. Anvihi	na vou
say will be used ag	jainst you both at	the misconduct hearing the your silence as eviden	and in a court of	f law, if	this matter is referre	ed for criminal p	rosecution. If you cho	ose to remain s	ilent, the

WHITE - DC-15

YELLOW — Inmate

are found guilty of a Class 1 misconduct, any pre-release status you have will be removed.

PINK — Reporting Staff Member

GOLDENROD — Deputy Superintendent Facility Management

DC-141 Rev. 6-84	Part 2B			F PENNSYLVA			
DISCIPLINARY I	HEARING REPORT	DEPAR		CORRECTIONS			
DZ 0246	Name]	Facility SCIA	Hearing 25 & A	Date . 03	Hearing Time	No. from Part 1
INMATE	Guilty	□ No Plea	$\frac{\omega_{14}}{1}$	مام مد	Guilty	1100	A436723
PLEA	□ Not Guilty	☐ Other		Verdict	□ Not Gu	uilty	
CHARGES	#35 #43		HEARING A	ACTION			
FINDINGS OF	FACT, VERDICT, AN SKS "NO CM	AMIN'S ACC	HARBES,	(
		61 5	miry #	35, 4-30, 1 300A	HY 32 Hys CEU	Restrection 1	
			24	- Coyise			
) c more F	t mos	o(5	
				911001011			
TYES =		te has heard the de	ecision and	has been told th	he reason fo	or it	
D YES D	NO The circu	will happen. mstances of the cha	arge have b	een read and fu	illy explained	d to	
d YES D	the inmat NO The oppo	e. ortunity to have the	inmate's v	ersion reported	as part of	the SEE	APPENDICES
YES D	record wa NO The inma review m		that within	15 days a requ	est for a for	mal	J
NAME(S) O	F HEARING EXAMINE (TYPED OR PRINTE BRUETT		Hearing	Report and all strains of the control of the contro	with above	information must be	oe signed. Signature

Case 1:05-cv-00093-SJM Document 52-3 Filed 03/03/2006 Page 33 of 41

ATTACHMENT G



COMMONWEALTH OF PENNSYLVANIA BOARD OF PROBATION AND PAROLE

1101 S. Front Street Harrisburg, Pa. 17104 - 2519

NOTICE OF BOARD DECISION

NAME: RYAN KERWIN

INSTITUTION: SCI - ALBION

PAROLE NO: 494CE

INSTITUTION NO: DZ0246

AS RECORDED ON NOVEMBER 05, 2003 THE BOARD OF PROBATION AND PAROLE RENDERED THE FOLLOWING DECISION IN YOUR CASE:

FOLLOWING AN INTERVIEW WITH YOU AND A REVIEW OF YOUR FILE, AND HAVING CONSIDERED ALL MATTERS REQUIRED PURSUANT TO THE PAROLE ACT OF 1941, AS AMENDED, 61 P.S. § 331.1 ET SEQ., THE BOARD OF PROBATION AND PAROLE, IN THE EXERCISE OF ITS DISCRETION, HAS DETERMINED AT THIS TIME THAT: YOUR BEST INTERESTS DO NOT JUSTIFY OR REQUIRE YOU BEING PAROLED/REPAROLED; AND, THE INTERESTS OF THE COMMONWEALTH WILL BE INJURED IF YOU WERE PAROLED/REPAROLED. THEREFORE, YOU ARE REFUSED PAROLE/REPAROLE AT THIS TIME. THE REASONS FOR THE BOARD'S DECISION INCLUDE THE FOLLOWING:

- -YOUR VERSION OF THE NATURE AND CIRCUMSTANCES OF THE OFFENSE(S) COMMITTED.
- -YOUR LACK OF REMORSE FOR THE OFFENSE(S) COMMITTED.
- -THE RECOMMENDATION MADE BY THE PROSECUTING ATTORNEY.
- -THE RECOMMENDATION MADE BY THE DEPARTMENT OF CORRECTIONS.
- -REPORTS, EVALUATIONS AND ASSESSMENTS CONCERNING YOUR PHYSICAL, MENTAL AND BEHAVIOR CONDITION AND HISTORY.
- -YOUR INSTITUTIONAL BEHAVIOR, INCLUDING REPORTED MISCONDUCTS OR CCC FAILURE.
- -YOUR INTERVIEW WITH THE HEARING EXAMINER AND/OR BOARD MEMBER.

YOU WILL BE REVIEWED IN OR AFTER OCTOBER, 2004.

AT YOUR NEXT INTERVIEW, THE BOARD WILL REVIEW YOUR FILE AND CONSIDER:

WHETHER YOU HAVE RECEIVED A FAVORABLE RECOMMENDATION FOR PAROLE FROM THE (CONTINUE ON PAGE 2)

INSTITUTION

Case 1:05-cv-00093-SJM Document 52-3 Filed 03/03/2006 Page 35 of 41

PAROLE NO: 494CE

(CONTINUED FROM PAGE 1) DEPARTMENT OF CORRECTIONS.

WHETHER YOU HAVE RECEIVED A CLEAR CONDUCT RECORD AND COMPLETED THE DEPARTMENT OF CORRECTIONS' PRESCRIPTIVE PROGRAM(S).

YOUR EFFORTS TO SECURE AN APPROVED HOME PLAN WILL BE SUBMITTED TO THE BOARD AT THE TIME OF REVIEW.

~LAH 11/5/03~

INSTITUTION

Charles Myers Acting Board Secretary

Notice of Board Decision PBPP 15(08/02) 2 of 2



COMMONWEALTH OF PENNSYLVANIA BOARD OF PROBATION AND PAROLE

1101 S. Front Street Harrisburg, Pa. 17104 - 2519

NOTICE OF BOARD DECISION

NAME: RYAN KERWIN

INSTITUTION: SCI - ALBION

PAROLE NO: 494CE

INSTITUTION NO: DZ0246

AS RECORDED ON OCTOBER 29, 2004 THE BOARD OF PROBATION AND PAROLE RENDERED THE FOLLOWING DECISION IN YOUR CASE:

FOLLOWING AN INTERVIEW WITH YOU AND A REVIEW OF YOUR FILE, AND HAVING CONSIDERED ALL MATTERS REQUIRED PURSUANT TO THE PAROLE ACT OF 1941, AS AMENDED, 61 P.S. § 331.1 ET SEQ., THE BOARD OF PROBATION AND PAROLE, IN THE EXERCISE OF ITS DISCRETION, HAS DETERMINED AT THIS TIME THAT: YOUR BEST INTERESTS DO NOT JUSTIFY OR REQUIRE YOU BEING PAROLED/REPAROLED; AND, THE INTERESTS OF THE COMMONWEALTH WILL BE INJURED IF YOU WERE PAROLED/REPAROLED. THEREFORE, YOU ARE REFUSED PAROLE/REPAROLE AT THIS TIME. THE REASONS FOR THE BOARD'S DECISION INCLUDE THE FOLLOWING:

- -YOUR VERSION OF THE NATURE AND CIRCUMSTANCES OF THE OFFENSE(S) COMMITTED.
- -REPORTS, EVALUATIONS AND ASSESSMENTS CONCERNING YOUR PHYSICAL, MENTAL AND BEHAVIOR CONDITION AND HISTORY.
- -YOUR INSTITUTIONAL BEHAVIOR, INCLUDING REPORTED MISCONDUCTS.
- -YOUR INTERVIEW WITH THE HEARING EXAMINER AND/OR BOARD MEMBER.

YOU WILL BE REVIEWED IN OR AFTER OCTOBER, 2005.

AT YOUR NEXT INTERVIEW, THE BOARD WILL REVIEW YOUR FILE AND CONSIDER:

WHETHER YOU HAVE SUCCESSFULLY COMPLETED A TREATMENT PROGRAM FOR: PRESCRIPTIVE PROGRAM PLAN.

WHETHER YOU HAVE RECEIVED A FAVORABLE RECOMMENDATION FOR PAROLE FROM THE

(CONTINUE ON PAGE 2)

NOV 9 5 2004 &

INSTITUTION

Case 1:05-cv-00093-SJM Document 52-3 Filed 03/03/2006 Page 37 of 41

PAROLE NO: 494CE

(CONTINUED FROM PAGE 1) DEPARTMENT OF CORRECTIONS.

WHETHER YOU HAVE MAINTAINED A CLEAR CONDUCT RECORD AND COMPLETED THE DEPARTMENT OF CORRECTIONS' PRESCRIPTIVE PROGRAM(S).

LAH 10/29/2004

INSTITUTION

Lawrence F. Murray Board Secretary

Notice of Board Decision PBPP 15(08/02) 2 of 2

ATTACHMENT H

Filed 03/03/2006 Page 39 of 41 Mar 02'06 13:31 No.004 P.02

COMMONWEALTH OF PENNSYLVANIA DC-16E SENTENCE STATUS SUMMARY **DEPARTMENT OF CORRECTIONS**

1. REFERENCES AND IDENTIFICATION

DOC Number	Commitment Name	PBPP No	SID No	FBI Number	Phila Photo #
DZ0248	RYAN KERWIN		28205210		
Date of Birth	Place of Birth			Race	Sex
12/30/1977	CROYDEN PA USA		_	W	M

2. SENTENCE SUMMARY

Sent	0		Indictments		1	Minimu	m	Maximum		
Date	County	Mich			Y	M	D	Y	M	D
06/11/1999	BUCKS	CP#0743/1989			4	6	}	9		
Plea:	Found Guilty	OTN: F3225213	TN: F3225213 Judge: \$1598R, EDWARD G.							
Offense:	AGGRAVATED ASS	AULT (GENERAL)								
06/11/1999	BUCKS	CP#1130/1999		C8		8		1		
Plea:	Found Guilty	OTN: F2957032	Judgo: BIESTER, EL	WARD G						
Offense:	RECKLESS ENDANG	GERMENT								

	2000 000 000 000	
Controlling Minimum Date	01/12/2004	Reentered from Previous DOC#:
33003000	The state of the s	
Controlling Maximum Date	01/12/2009	New Maximum - PV

Non-incarcerated Offenses Comments:	
Summary or Remarks on Sentence 18E CONVERSION DUE TO ANNUAL REVIEW	

3. SENTENCE STRUCTURE

Item	Computation 1	Computation 2	*****	
Indictments Included	CP#0743/1999 CP#1130/1999			
Effective Date	01/12/1999			
Expiration of	01/12/2004			
Expiration of Meximum	01/12/2009			
Custody for Return - PV				
Delinquent Time				
Backtime Credit				
Backtime Owed			<u> </u>	
New Maximum PV				
Sentence Computation Date	07/11/2002			
Basis for Computation	Initial Aggregation			
Total Sentence	6-10 YEARS			
Status	Active			

Action

Comments

Number	Date	From		Indict-Warran	t Nos. OTN	Туре
one						
	Remarks			• • • • • • • • • • • • • • • • • • • •		
			s (for those deleted since	leet DC:18\		
Variali iei	F (Daig D	diared Louisal	10 TION THOOD RELEASE OF THE	HEN DO IVI	-,	
				•		
None		— Т	0. FA	OR DOC NUMBERS		
		6.	FINES, COSTS AND R	WOTTH/TION AT TIME	OF RECEPTION	
Date	Cou	inty	Indictment	Fines	Costs	Restitution
Q8/11/199	9 BUC	KS	CP#0743/1999		\$462	
			7. ACTION	8: BOARD OF PARDO		

Last Modified by: logershok

File

Number

Decision

Date